

List of Regulated Activities

Financial Services		
Sub sector	Licence	Regulatory Bodies
Non - Banking activities	Assets Management licence	Financial Services Commission
Non - Banking activities	Distribution of Financial Products Licence	Financial Services Commission
Non - Banking activities	Registrar and Transfer Agent Licence	Financial Services Commission
Non - Banking activities	Treasury Management Licence	Financial Services Commission
Non - Banking activities	Custodian Services Licence(non-CIS)	Financial Services Commission
Non - Banking activities	Global Headquarters Administration Licence	Financial Services Commission
Non - Banking activities	Global Treasury Activities Licence	Financial Services Commission
Non - Banking activities	Credit Finance Licence	Financial Services Commission
Non - Banking activities	Factoring Licence	Financial Services Commission
Non - Banking activities	Leasing Licence	Financial Services Commission
Non - Banking activities	Actuarial Services Licence	Financial Services Commission
Non - Banking activities	Credit Rating Agencies/ Rating Agencies Licence	Financial Services Commission
Non - Banking activities	Payment Intermediary Services Licence	Financial Services Commission
Non - Banking activities	Representative Office Licence (for financial services provided by a person established in a foreign jurisdiction)	Financial Services Commission
Non - Banking activities	Other Financial Business Activity Licence	Financial Services Commission
Non - Banking activities	Management Licence Licence	Financial Services Commission
Non - Banking activities	Management Licence Licence Qualified / Corporate Trustee only	Financial Services Commission
Non - Banking activities	Nominee Company Licence(Approval)	Financial Services Commission

Sub sector	Licence	Regulatory Bodies
Non - Banking activities	Self Regulatory Organisations (SROs) Licence	Financial Services Commission
Non - Banking activities	Securities Exchange Licence	Financial Services Commission
Non - Banking activities	Clearing & Settlement Facility Licence	Financial Services Commission
Non - Banking activities	Securities Trading Systems Licence	Financial Services Commission
Non - Banking activities	Reporting Issuer Licence	Financial Services Commission
Non - Banking activities	Investment Dealer Licence (Full Service Dealer including Underwriting)	Financial Services Commission
Non - Banking activities	Investment Dealer Licence (Full Service Dealer excluding Underwriting)	Financial Services Commission
Non - Banking activities	Investment Dealer Licence (Broker)	Financial Services Commission
Non - Banking activities	Investment Dealer Licence (Discount Broker)	Financial Services Commission
Non - Banking activities	Investment Adviser Licence (Unrestricted)	Financial Services Commission
Non - Banking activities	Investment Adviser Licence (Restricted)	Financial Services Commission
Non - Banking activities	Representative of Investment Dealer Licence (Full Service Dealer) Type 1	Financial Services Commission
Non - Banking activities	Representative of Investment Dealer Licence (Full Service Dealer) Type 2	Financial Services Commission
Non - Banking activities	Representative of Investment Dealer Licence (Full Service Dealer) Type 3	Financial Services Commission
Non - Banking activities	Representative of Investment Dealer Licence (Broker) Type 1	Financial Services Commission
Non - Banking activities	Representative of Investment Dealer Licence (Broker) Type 2	Financial Services Commission
Non - Banking activities	Representative of Investment Dealer Licence (Discount Broker)	Financial Services Commission
Non - Banking activities	Representative of Investment Adviser Licence (Unrestricted)	Financial Services Commission
Non - Banking activities	Representative of Investment Adviser (Restricted)	Financial Services Commission
Non - Banking activities	CIS Licence (Single fund)	Financial Services Commission
Non - Banking activities	CIS Licence(having more than 1 fund)	Financial Services Commission

Sub sector	Licence	Regulatory Bodies
Non - Banking activities	CIS Licence(Protected Cell Company)	Financial Services Commission
Non - Banking activities	Closed-end fund Licence (Single Fund)	Financial Services Commission
Non - Banking activities	Closed-end fund Licence (having more than 1 fund)	Financial Services Commission
Non - Banking activities	Closed-end fund Licence (Protected Cell Company)	Financial Services Commission
Non - Banking activities	Single Fund Licence	Financial Services Commission
Non - Banking activities	Scheme with more than 1 sub-fund Licence	Financial Services Commission
Non - Banking activities	Custodian Licence	Financial Services Commission
Non - Banking activities	Long-Term Insurance Business Licence	Financial Services Commission
Non - Banking activities	General Insurance Business Licence	Financial Services Commission
Non - Banking activities	External Insurance Business Licence	Financial Services Commission
Non - Banking activities	Professional Reinsurer Licence	Financial Services Commission
Non - Banking activities	Insurance Agent Licence(Company)	Financial Services Commission
Non - Banking activities	Insurance Broker Licence	Financial Services Commission
Non - Banking activities	Pension Schemes Licence	Financial Services Commission
Non - Banking activities	Foreign Pension Schemes Licence	Financial Services Commission
Non - Banking activities	External Pension Schemes Licence	Financial Services Commission
Non - Banking activities	Qualified Trustee (other than a Management Company) - Authorisation Licence	Financial Services Commission
Non - Banking activities	Enforcer (purpose trust created by a Mauritian national only Licence	Financial Services Commission
Non - Banking activities	Successor to Enforcer (purpose trust created by a Mauritian national only) Licence	Financial Services Commission
Non - Banking activities	Global Business Licence	Financial Services Commission